

Anticipated Classification  
of this Application:

Class \_\_\_\_\_ Subclass \_\_\_\_\_

Prior Application:

Attorney's Docket No.: 55672-AA-PCT-  
US JPW/AJM/AG

Examiner: \_\_\_\_\_

Group Art Unit: 1635

Mail Stop Patent Application  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

November 12, 2003

S I R:

This is a request for filing a   X   CONTINUATION        DIVISIONAL  
       CONTINUATION IN PART application under        37 C.F.R. §1.53(b), of  
pending prior application Serial No. 09/750,410 filed on December  
28, 2000.

Gloria C. Li and Paul W.J.J. Burgman inventor(s)

for       USES OF Ku70        
Title of Invention

1.   X   Enclosed is a copy of the prior application.
2.        A verified statement to establish small entity status under  
37 C.F.R. § 1.27  
       is enclosed.  
       was filed in the prior application and such status is  
still proper and desired.
3.   X   The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	13-20		0	X	\$ 9	\$ 18	\$ 0	\$ 0
Independent Claims	3-3		0	X	\$ 43	\$ 86	\$ 0	\$ 0
Multiple Dependent Claims Presented <u>      </u> Yes <u>  X  </u> No					\$145	\$290	\$ 0	\$ 0
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE		\$ 385	\$ 770
					TOTAL FEE		\$ 770.00	

16834 U.S. PTO  
10/712642  
111203

4.    X     The Commissioner is hereby authorized to charge payment of  
the following fees during the pendency of this application  
or credit any overpayment to Deposit Account No. 03-3125.
- X     Any additional filing fees required under 37 C.F.R.  
            \$1.16.
- X     Any patent application processing fees under 37 C.F.R.  
            \$1.17.
5.    \_\_\_     Three copies of this sheet are enclosed.
6.    X     A check in the amount of \$ 770.00 is enclosed.
7.    \_\_\_     Cancel claims \_\_\_\_\_.
8.    \_\_\_     Amend the specification by inserting before the first line  
the following sentence:   --This is a continuation of  
application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ which is a  
continuation of PCT International Application No. \_\_\_\_\_  
\_\_\_\_\_, filed \_\_\_\_\_, claiming priority of U.S.  
Provisional application No. \_\_\_\_\_ --
9.    X     31 Sheet(s) of X informal \_\_\_ formal drawing(s) is/are  
enclosed.
10.   \_\_\_     Transfer the drawings from the prior application to this  
application and abandon said prior application as of the  
filing date accorded this application. A duplicate copy of  
this sheet is enclosed for filing in the prior application  
file.
11.   \_\_\_     Priority of application No. \_\_\_\_\_ filed  
on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 37 C.F.R.  
§119.
- \_\_\_     The certified copy of the priority application has been  
filed in prior application Serial No. \_\_\_\_\_, filed  
\_\_\_\_\_.
12.   X     The prior application is assigned of record to  
The Trustees of Columbia University in the City of New  
York.
13.   X     A preliminary amendment is enclosed.
14.   X     The power of attorney in the prior application is to:

John P. White (Reg. No. 28,678); Christopher C. Dunham (Reg. No. 22,031); Norman H. Zivin (Reg. No. 25,385); Jay H. Maioli (Reg. No. 27,213); William E. Pelton (Reg. No. 25,702); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Wendy E. Miller (Reg. No. 35,615); Richard S. Milner (Reg. No. 33,970); Robert T. Maldonado (Reg. No. 38,232); Paul Teng (40,837); Richard F. Jaworski (Reg. No. 33,515); Elizabeth M. Wieckowski (Reg. No. 42,226); Pedro C. Fernandez (Reg. No. 41,741); Gary J. Gershik (Reg. No. 39,992); Jane M. Love (Reg. No. 42,812); Spencer H. Schneider (Reg. No. 45,923) and Raymond A. Diperna (Reg. No. 44,063).

- (a) ☐ The power appears in the original papers in the prior application.
- (b) ☒ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- (c) ☒ Address all future correspondence to:

John P. White  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
Tel.: (212) 278-0400

15. ☒ Also enclosed: Express mail Certificate of Mailing No. EV325708765US dated November 12, 2003.
16. ☒ I hereby verify that the attached papers are a true copy of prior application serial No. 09/750,410 as originally filed on December 28, 2000.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 11/12/03

Respectfully submitted,

John P. White  
Registration No. 28,678  
Alan J. Morrison  
Registration No. 37,399  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, NY 10036  
Tel.: (212) 278-0400

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Gloria C. Li and Paul W.J.J. Burgman  
Serial No.: Not Yet Known  
Filed : Herewith  
For : USES OF Ku70

1185 Avenue of the Americas  
New York, New York 10036  
November 12, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

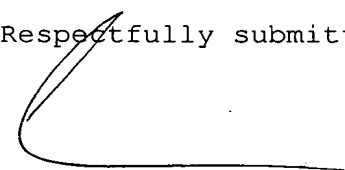
**EXPRESS MAIL CERTIFICATE**  
**OF MAILING FOR ABOVE-IDENTIFIED APPLICATION**

"Express Mail" mailing label number: EV 325 708 765US

Date of Deposit: November 12, 2003 I hereby certify that this paper or fee is being deposited to the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

  
Printed Name: John P. White 15C0A

Respectfully submitted,

  
John P. White  
Registration No. 28,678  
Alan J. Morrison  
Registration No. 37,399  
Attorneys for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
Tel. No. (212) 278-0400